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|--|---|---|
| FORM PTO 1390<br>(REV 5-93) US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  |   | ATTORNEY DOCKET NUMBER<br>2002_0350A        |
| TRANSMITTAL LETTER TO THE UNITED STATES<br>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br>CONCERNING A FILING UNDER 35 U.S.C. §371  |   |   |
| International Application No.<br>PCT/NO00/00297  | International Filing Date<br>September 11, 2000 | Priority Date Claimed<br>September 13, 1999 |
| <b>Title of Invention</b><br>SINGLE STAGE SEED POLYMERISATION FOR THE PRODUCTION OF LARGE POLYMER PARTICLES WITH A NARROW SIZE DISTRIBUTION  |   |   |
| <b>Applicant(s) For DO/EO/US</b><br>Kari-Anne LETH-OLSEN, Øystein PAULSEN, Steinar PEDERSEN, Bård SÆTHRE and Rolf Olaf LARSEN  |   |   |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  |   |   |
| <ol style="list-style-type: none"> <li>1. <input type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. §371.</li> <li>2. <input checked="" type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. §371.</li> <li>3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. §371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. §371(b) and PCT Articles 22 and 39(1).</li> <li>4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</li> <li>5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. §371(c)(2))           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> has been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</li> </ol> </li> <li>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. §371(c)(2)).</li> <li>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)).           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> have been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19.</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)).</li> <li>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5)).</li> </ol> |   |   |
| <b>Items 11. to 14. below concern other document(s) or information included:</b>   |   |   |
| <ol style="list-style-type: none"> <li>11. <input checked="" type="checkbox"/> A Supplemental Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input type="checkbox"/> A <b>FIRST</b> preliminary amendment.           <br/><input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> <li>14. <input checked="" type="checkbox"/> Other items or information: (a) Copy of Notification of Missing Requirements under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US); and (b) Form PCT/IPEA/416 with attached International Preliminary Examination Report (in English)</li> </ol>  |   |   |

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)  
10/070,915

INTERNATIONAL APPLICATION NO.  
PCT/NO00/00297

ATTORNEY'S DOCKET NO.  
002\_0350A

15. [X] The following fees are submitted

CALCULATIONS PTO USE ONLY

**BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):**

Neither international preliminary examination fee nor international search fee paid to USPTO  
and International Search Report not prepared by the EPO or JPO ..... \$1040.00  
International Search Report has been prepared by the EPO or JPO ..... \$ 890.00  
International preliminary examination fee not paid to USPTO but international search  
paid to USPTO ..... \$ 740.00  
International preliminary examination fee paid to USPTO but claims did not satisfy provisions  
of PCT Article 33(1)-(4) ..... \$ 690.00  
International preliminary examination fee paid to USPTO and all claims satisfied provisions of  
PCT Article 33(1)-(4) ..... \$ 100.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

\$

Surcharge of \$130.00 for furnishing the oath or declaration later than [ ] 20 [X] 30 months from the earliest  
claimed priority date (37 CFR 1.492(e)).

\$130.00

| Claims                                      | Number Filed | Number Extra | Rate       |    |
|---|--------------|--------------|------------|----|
| Total Claims                                | -20 =        |              | X \$18.00  | \$ |
| Independent Claims                          | - 3 =        |              | X \$84.00  | \$ |
| Multiple dependent claim(s) (if applicable) |              |              | + \$280.00 | \$ |

**TOTAL OF ABOVE CALCULATIONS =**

\$

[ ] Small Entity Status is hereby asserted. Above fees are reduced by 1/2.

\$

**SUBTOTAL =**

\$

Processing fee of \$130.00 for furnishing the English translation later than [ ] 20 [ ] 30 months from the earliest  
claimed priority date (37 CFR 1.492(f)).

\$

**TOTAL NATIONAL FEE =**

\$

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an  
appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +

\$40.00

**TOTAL FEES ENCLOSED =**

\$170.00

06/13/2002 MKAYPAGH 00000053 10070915

Amount to be refunded \$

130.00 ND

Amount to be charged \$

01 FC:154

a. [X] A check in the amount of \$170.00 to cover the above fees is enclosed. A duplicate copy of this form is enclosed.

b. [ ] Please charge my Deposit Account No. 23-0975 in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.

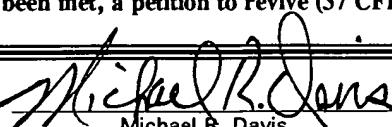
c. [ ] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. 23-0975.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b))  
must be filed and granted to restore the application to pending status.

19. CORRESPONDENCE ADDRESS



000513  
PATENT TRADEMARK OFFICE

By   
Michael R. Davis,  
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June 10, 2002

This document is AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

[CHECK NO. 50633]

[2002\_0350A]



## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
 United States Patent and Trademark Office  
 Washington, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

|  |                       |                               |               |
|--|-----------------------|-------------------------------|---------------|
| U.S. APPLICATION NUMBER NO.  | FIRST NAMED APPLICANT | ATTY. DOCKET NO.              |               |
| 10/070,915   | Kari-Anne Leth-Olsen  | 2002_0350A                    |               |
|  |                       | INTERNATIONAL APPLICATION NO. |               |
|  |                       | PCT/NO00/00297                |               |
|  |                       | I.A. FILING DATE              | PRIORITY DATE |
|  |                       | 09/11/2000                    | 09/13/1999    |
| <b>CONFIRMATION NO. 2993</b><br><b>371 FORMALITIES LETTER</b>  |                       |                               |               |
| <br>*OC00000008097680* |                       |                               |               |

Date Mailed: 05/14/2002

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Oath or Declaration
- Preliminary Amendments
- Request for Immediate Examination

**RECEIVED**

MAY 17 2002

WENDEROTH, LIND & PONACK

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice MUST be returned with the response.*

BARBARA A CAMPBELL

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Telephone: (703) 305-3631

PART 1 - ATTORNEY/APPLICANT COPY

|                             |                               |                  |
|-----------------------------|-------------------------------|------------------|
| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
| 10/070,915                  | PCT/NO00/00297                | 2002_0350A       |

FORM PCT/DO/EO/905 (371 Formalities Notice)